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(54) Title: IMPROVED VACCINES

(57) Abstract

Vaccines and bacteria which are virulence attenuated by mutations in a two-component regulatory system.

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IMPROVED VACCINES

The invention relates to vaccines.

Background of the Invention

This invention was made in the course of work
5 supported by the United States Government, which has
certain rights in the invention.

Enteric fevers and diarrheal diseases, e.g., typhoid fever and cholera, are major causes of morbidity and mortality throughout the developing world, Hook et 10 al., 1980, In Harrison's Principles of Internal Medicine, 9th Ed., 641-848, McGraw Hill, New York. Traditional approaches to the development of vaccines for bacterial diseases include the parenteral injection of purified components or killed organisms. These parenterally 15 administered vaccines require technologically advanced preparation, are relatively expensive, and are often, because of dislike for needle-based injections, resisted by patients. Live oral vaccine strains have several advantages over parenteral vaccines: low cost, ease of 20 administration, and simple preparation.

The development of live vaccines has often been limited by a lack of understanding of the pathogenesis of the disease of interest on a molecular level. Candidate live vaccine strains require nonrevertible genetic 25 alterations that affect the virulence of the organism, but not its induction of an immune response. Work defining the mechanisms of toxigenesis of *vibrio cholerae* has made it possible to create live vaccine strains based on deletion of the toxin genes, Mekalanos et al., 1983, 30 *Nature* 306:551, Levine et al., 1988, *Infect. Immun.* 56:161.

Recent studies have begun to define the molecular basis of *Salmonella typhimurium* macrophage survival and

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virulence, Miller et al., 1989, Proc. Natl. Acad. Sci. USA 86:5054, hereby incorporated by reference.

Salmonella typhimurium strains with mutations in the positive regulatory regulon *phoP* are markedly attenuated

5 in virulence for BALB/c mice. The *phoP* regulon is composed of two genes present in an operon, termed *phoP* and *phoQ*. The *phoP* and *phoQ* gene products are highly similar to other members of bacterial two-component transcriptional regulators that respond to environmental 10 stimuli and control the expression of a large number of other genes. A mutation at one of these *phoP* regulatory region regulated genes, *pagC*, confers a virulence defect. Strains with *pagC*, *phoP*, or *phoQ* mutations afford partial protection to subsequent challenge by wild-type *S.*

15 *typhimurium*.

Salmonella species cause a spectrum of clinical disease that includes enteric fevers and acute gastroenteritis, Hook et al., 1980, *supra*. Infections with *Salmonella* species are more common in

20 immunosuppressed persons, Celum et al., 1987, *J. Infect. Dis.* 156:998. *S. typhi*, the bacterium that causes typhoid fever, can only infect man, Hook et al., 1980, *supra*. The narrow host specificity of *S. typhi* has resulted in the extensive use of *S. enteriditis* 25 *typhimurium* infection of mice as a laboratory model of typhoid fever, Carter et al., 1984 *J. Exp. Med.* 159:1189. *S. typhimurium* infects a wider range of hosts, causing acute gastroenteritis in man and a disease similar to typhoid fever in the mouse and cow.

30 *Salmonella* infections are acquired by oral ingestion. The organisms, after traversing the stomach, replicate in the small bowel, Hornik et al., 1970, *N. Eng. J. Med.* 283:686. *Salmonella* are capable of invasion of the intestinal mucosal cells, and *S. typhi* can pass 35 through this mucosal barrier and spread via the Peyer's

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patches to the lamina propria and regional lymph nodes. Colonization of the reticuloendothelial cells of the host then occurs after bacteremia. The ability of *S. typhi* to survive and replicate within the cells of the human 5 reticuloendothelial system is essential to its pathogenesis, Hook et al., 1980, *supra*, Hornick et al., 1970, *supra*, and Carter et al., 1984, *supra*.

Immunity to *Salmonella typhi* involves humoral and cell-mediated immunity, Murphy et al., 1987, *J. Infect. Dis.* 156:1005, and is obtainable by vaccination, Edelman et al., 1986, *Rev. Inf. Dis.* 8:324. Recently, human field trials demonstrated significant protective efficacy against *S. typhi* infection after intramuscular vaccination with partially purified Vi antigen, Lanata et 10 al., 1983, *Lancet* 2:441. Antibody-dependent enhancement of *S. typhi* killing by T cells has been demonstrated in individuals who received a live *S. typhi* vaccine, indicating that these antibodies may be necessary for the host to generate a cell-mediated immune response, Levine et al., 1987, *J. Clin. Invest.* 79:888. The cell-mediated 15 immune response is important in typhoid immunity since killed vaccines that do not induce this immune response are not protective in man, Collins et al., 1972, *Infect. Immun.* 41:742.

25 Summary of the Invention

In general, the invention features a vaccine, preferably a live vaccine, including a bacterial cell, preferably a *Salmonella* cell, e.g., a *S. typhi*, *S. enteritidis* *typhimurium*, or *S. cholerae-suis* cell, the 30 virulence of which is attenuated by the constitutive expression of a gene under the control of a two-component regulatory system. In preferred embodiments the constitutive expression is the result of a mutation at a component of the two-component regulatory system. In

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preferred embodiments the bacterial cell includes a second mutation which attenuates virulence.

In yet other preferred embodiments of the vaccine the two-component regulatory system is the phoP regulatory region, and the gene under the control of the two-component system is a phoP regulatory region regulated gene, e.g., a prg or pag gene, e.g., pagC. In preferred embodiments constitutive expression is the result of a change or mutation (preferably a non-revertible mutation) at the promoter of the regulated gene or of the phoP regulatory region, e.g., a mutation in the phoQ or the phoP gene, e.g., the phoP^c mutation.

In preferred embodiments of the vaccine the *Salmonella* cell includes a first mutation which attenuates virulence, e.g., a mutation in a phoP regulatory region gene, e.g., a mutation in the phoP or phoQ gene, e.g., phoP^c, or a mutation in a phoP regulatory region regulated gene, and a second mutation which attenuates virulence, e.g., a mutation in an aromatic amino acid synthetic gene, e.g., an aro gene, a mutation in a phoP regulatory region regulated gene, e.g., a mutation in a prg or pag locus, e.g., a pagC mutation.

In yet other preferred embodiments the bacterial cell includes a first mutation in a phoP regulatory region gene and a second mutation in an aromatic amino acid synthetic gene, e.g., an aro gene.

In another aspect, the invention features a vaccine, preferably a live vaccine, including a bacterial cell, the virulence of which is attenuated by a mutation in a gene under the control of a two-component regulatory system. In preferred embodiments the bacterial cell includes a virulence attenuating mutation in a second gene, e.g., in an aromatic amino acid synthetic gene, e.g., an aro gene.

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In yet other preferred embodiments of the vaccine the bacterial cell is *Salmonella* cell, the two-component regulatory system is the *phoP* regulatory region, and the gene under its control is a *prg* or a *pag* gene, e.g., the 5 *pagC* gene.

In another aspect the invention features a vaccine, preferably a live vaccine, including a *Salmonella* cell e.g., a *S. typhi*, *S. enteritidis* *typhimurium*, or *S. cholerae-suis* cell, including a first 10 virulence attenuating mutation in an aromatic amino acid biosynthetic gene, e.g., an *aro* gene, and a second virulence attenuating mutation in a *phoP* regulatory region gene, e.g., a *phoP*⁻ mutation.

In another aspect the invention features a 15 bacterial cell, or a substantially purified preparation thereof, preferably a *Salmonella* cell, e.g., a *S. typhi*, *S. enteritidis* *typhimurium*, or *S. cholerae-suis* cell, which constitutively expresses a gene under the control of a two-component regulatory system and which includes a 20 virulence attenuating mutation which does not result in constitutive expression of a gene under the control of the two-component regulatory system. In preferred embodiments the bacterial cell includes a mutation in a component of the two-component regulatory system.

In preferred embodiments the bacterial cell is a 25 *Salmonella* cell which expresses a *phoP* regulatory region regulated gene constitutively (the constitutive expression preferably caused by a mutation, preferably a non-revertible mutation, e.g., a deletion in the *phoP* regulatory region, e.g., a mutation in the *phoQ* or *phoP* gene, e.g., *phoP*^C), and which includes a virulence 30 attenuating mutation, preferably a non-revertible mutation, e.g., a deletion, preferably in an aromatic amino acid synthetic gene, e.g., an *aro* gene, or in a 35 *phoP* regulatory region regulated gene, e.g., a *prg* or *pag*

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gene, e.g., *pagC* which does not result in the constitutive expression of a gene under the control of the *phoP* regulatory region.

In another aspect, the invention features a bacterial cell, or a substantially purified preparation thereof, e.g., a *Salmonella* cell, e.g., a *S. typhi* cell, an *S. enteritidis* *typhimurium* or a *S. cholerae-suis* cell, including a virulence attenuating mutation in a gene regulated by a two-component regulatory system. In preferred embodiments the virulence attenuating mutation is in a *phoP* regulatory region regulated gene, e.g., a *prg* or *pag* gene, e.g., *pagC*.

In preferred embodiments the bacterial cell includes a second mutation, e.g., in an aromatic amino acid synthetic gene, e.g., an *aro* gene, in a *phoP* regulatory region gene, e.g., the *phoP* or *phoQ* genes, or in a *phoP* regulating region regulated gene, e.g., a *prg* or a *pag* gene, e.g., *pagC*, which attenuates virulence but which does not result in constitutive expression of a *phoP* regulatory region regulated gene.

The invention also features a live *Salmonella* cell, or a substantially purified preparation thereof, e.g., a *S. typhi*, *S. enteritidis* *typhimurium*, or *S. cholerae-suis* cell, in which there is inserted into a virulence gene, e.g., a gene in the *phoP* regulating region, or a *phoP* regulating region regulated gene, e.g., a *prg* or a *pag* locus, e.g., *pagC*, a gene encoding a heterologous protein, or a regulatory element thereof.

In preferred embodiments the live *Salmonella* cell carries a second mutation, e.g., an *aro* mutation, e.g., an *aroA* mutation, e.g., *aroA*⁻ or *aroADEL407*, that attenuates virulence.

In preferred embodiments the DNA encoding a heterologous protein is under the control of an environmentally regulated promoter. In other preferred

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embodiments the live *Salmonella* cell further includes a DNA sequence encoding T7 polymerase under the control of an environmentally regulated promoter and a T7 transcriptionally sensitive promoter, the T7

5 transcriptionally sensitive promoter controlling the expression of the heterologous antigen.

The invention also features a vector capable of integrating into the chromosome of *Salmonella* including: a first DNA sequence encoding a heterologous protein; a second (optional) DNA sequence encoding a marker e.g., a selective marker, e.g., a gene that confers resistance for a heavy metal resistance or a gene that complements an aurotrophic mutation carried by the strain to be transformed; and a third DNA sequence, e.g., a *phoP* 10 regulon encoded gene, e.g., a *prg* or a *pag* locus, e.g., *pagC*, encoding a product necessary for virulence, the third DNA sequence being mutationally inactivated.

15

In other preferred embodiments: the first DNA sequence is disposed on the vector so as to mutationally 20 inactivate the third DNA sequence; the vector cannot replicate in a wild-type *Salmonella* strain; the heterologous protein is under the control of an environmentally regulated promoter; and the vector further includes a DNA sequence encoding T7 polymerase 25 under the control of an environmentally regulated promoter and a T7 transcriptionally sensitive promoter, the T7 transcriptionally sensitive promoter controlling the expression of the heterologous antigen.

In another aspect the invention includes a method 30 of vaccinating an animal, e.g., a mammal, e.g., a human, against a disease caused by a bacterium, e.g., *Salmonella*, including administering a vaccine of the invention.

The invention also includes a vector including DNA 35 which encodes the *pagC* gene product; a cell transformed

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with the vector; a method of producing the pagC gene product including culturing the transformed cell and purifying the pagC gene product from the cell or culture medium; and a purified preparation of the pagC gene

5 product.

In another aspect the invention includes a method of detecting the presence of *Salmonella* in a sample including contacting the sample with pagC encoding DNA and detecting the hybridization of the pagC encoding DNA

10 to nucleic acid in the sample.

In another aspect the invention features a method of attenuating the virulence of a bacterium, the bacterium including a two-component regulatory system, including causing a gene under the control of the two-component system to be expressed constitutively. In preferred embodiments the bacterium is *Salmonella*, e.g., *S. typhi*, *S. enteritidis* *typhimurium*, or *S. cholerae-suis*, and the two-component system is the phoP regulatory region.

20 Two-component regulatory system, as used herein, refers to a bacterial regulatory system that controls the expression of multiple proteins in response to environmental signals. The two-components referred to in the term are a sensor, which may, e.g., sense an

25 environmental parameter and in response thereto promote the activation, e.g. by promoting the phosphorylation, of the second component, the activator. The activator affects the expression of genes under the control of the two-component system. A two-component system can

30 include, e.g., a histidine protein kinase and a phosphorylated response regulator, as is seen in both gram positive and gram negative bacteria. In *E. coli*, e.g., 10 kinases and 11 response regulators have been identified. They control chemotaxis, nitrogen

35 regulation, phosphate regulation, osmoregulation,

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sporulation, and many other cellular functions, Stock et al., 1989 *Microbiol. Rev.* 53:450-490, hereby incorporated by reference. A two-component system also controls the virulence of *Agrobacterium tumefaciens* plant tumor formation, Leroux et al. *EMBO J* 6:849-856, hereby incorporated by reference). Similar virulence regulators are involved in the virulence of *Bordetella pertussis* Arico et al., 1989, *Proc. Natl. Acad. Sci. USA* 86:6671-6675, hereby incorporated by reference, and *Shigella flexneri*, Bernardini et al., 1990, *J. Bact.* 172:6274-6281, hereby incorporated by reference.

Environmentally regulated, as used herein refers to a pattern of expression wherein the expression of a gene in a cell depends on the levels of some characteristic or component of the environment in which the cell resides. Examples include promoters in biosynthetic pathways which are turned on or off by the level of a specific component or components, e.g., iron, temperature responsive promoters, or promoters which are expressed more actively in specific cellular compartments, e.g., in macrophages or vacuoles.

A vaccine, as used herein, is a preparation including materials that evoke a desired biological response, e.g., an immune response, in combination with a suitable carrier. The vaccine may include live organism, in which case it is usually administered orally, or killed organisms or components thereof, in which case it is usually administered parenterally. The cells used for the vaccine of the invention are preferably alive and thus capable of colonizing the intestines of the inoculated animal.

A mutation, as used herein, is any change (in comparison with the appropriate parental strain) in the DNA sequence of an organism. These changes can arise e.g., spontaneously, by chemical, energy e.g., X-ray, or

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other forms of mutagenesis, by genetic engineering, or as a result of mating or other forms of exchange of genetic information. Mutations include e.g., base changes, deletions, insertions, inversions, translocations or 5 duplications.

A mutation attenuates virulence if, as a result of the mutation, the level of virulence of the mutant cell is decreased in comparison with the level in a cell of the parental strain, as measured by (a) a significant 10 (e.g., at least 50%) decrease in virulence in the mutant strain compared to the parental strain, or (b) a significant (e.g., at least 50%) decrease in the amount of the polypeptide identified as the virulence factor in the mutant strain compared to the parental strain.

15 A non-revertible mutation, as used herein, is a mutation which cannot revert by a single base pair change, e.g., deletion or insertion mutations and mutations that include more than one lesion, e.g., a mutation composed of two separate point mutations.

20 The phoP regulatory region, as used herein, is a two-component regulatory system that controls the expression of pag and prg genes. It includes the phoP locus and the phoQ locus.

25 phoP regulatory region regulated genes, as used herein, refer to genes such as pag and prg genes.

pag, as used herein, refers to a gene which is positively regulated by the phoP regulon.

prg, as used herein, refers to a gene which is negatively regulated by the phoP regulon.

30 An aromatic amino acid synthetic gene, as used herein, is a gene which encodes an enzyme which catalyzes a step in the synthesis of an aromatic amino acid. aroA, aroC, and aroD are examples of such genes in *Salmonella*. Mutations in these genes can attenuate virulence without 35 the total loss of immunogenicity.

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Abnormal expression, as used herein, means expression which is higher or lower than that seen in wild type.

Heterologous protein, as used herein, is a protein 5 that in wild type, is not expressed or is expressed from a different chromosomal site, e.g., a heterologous protein is one encoded by a gene that has been inserted into a second gene.

Virulence gene, as used herein, is a gene the 10 inactivation of which results in a *Salmonella* cell with less virulence than that of a similar *Salmonella* cell in which the gene is not inactivated. Examples include the *phoP* and *pagC* genes.

A marker, as used herein, is gene product the 15 presence of which is easily determined, e.g., a gene product that confers resistance to a heavy metal or a gene product which allows or inhibits growth under a given set of conditions.

Purified preparation, as used herein, is a 20 preparation, e.g., of a protein, which is purified from the proteins, lipids, and other material with which it is associated. The preparation is preferably at least 2-10 fold purified.

Constitutive expression, as used herein, refers to 25 gene expression which is modulated or regulated to a lesser extent than the expression of the same gene in an appropriate control strain, e.g., a parental or in wild-type strain. For example, if a gene is normally repressed under a first set of conditions and derepressed under a second set of conditions constitutive expression 30 would be expression at the same level, e.g., the repressed level, the derepressed level, or an intermediate level, regardless of conditions. Partial constitutive expression is included within the definition 35 of constitutive expression and occurs when the difference

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between two levels of expression is reduced in comparison in what is seen in an appropriate control strain, e.g., a wild-type or parental strain.

A substantially purified preparation of a
5 bacterial cell is a preparation of cells wherein
contaminating cells without the desired mutant genotype
constitute less than 10%, preferably less than 1%, and
more preferably less than 0.1% of the total number of
cells in the preparation.

10 The invention allows for the attenuation of
virulence of bacteria and of vaccines that include
bacteria, especially vaccines that include live bacteria,
by mutations in two-component regulatory systems and/or
in genes regulated by these systems. The vaccines of the
15 invention are highly attenuated for virulence but retain
immunogenicity, thus they are both safe and effective.

10 The vectors of the invention allow the rapid
construction of strains containing DNA encoding
heterologous proteins, e.g., antigens. The heterologous
20 protein encoding DNA is chromosomally integrated, and
thus stable, unlike plasmid systems which are dependent
on antibiotic resistance or other selection pressure for
stability. Live *Salmonella* cells of the invention in
which the expression of heterologous protein is under the
25 control of an environmentally responsive promoter do not
express the heterologous protein at times when such
expression would be undesirable e.g., during culture,
vaccine preparation, or storage, contributing to the
viability of the cells, but when administered to humans
30 or animals, express large amounts of the protein. This
is desirable because high expression of many heterologous
proteins in *Salmonella* can be associated with toxicity to
the bacterium. The use of only a single integrated copy
of the DNA encoding the heterologous protein also
35 contributes to minimal expression of the heterologous

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protein at times when expression is not desired. In embodiments where a virulence gene, e.g., the pagC gene, contains the site of integration for the DNA encoding the heterologous protein the virulence of the organism is 5 attenuated.

Other features and advantages of the invention will be apparent from the following description of the preferred embodiments and from the claims.

Description of the Preferred Embodiments

10 The drawings will first be described.

Drawings

Fig. 1 is a graph of the survival of *Salmonella* strains within macrophages.

15 Fig. 2 is a map of the restriction endonuclease sites of the pagC locus.

Fig. 3 is a map of the DNA sequence of the pagC region (Sequence ID No. 1).

Strain Deposit

20 *PhoP^C* strain CS022 (described below) has been deposited with the American Type Culture Collection (Rockville, MD) and has received ATCC designation _____

Constitutive Expression of the PhoP Regulon Attenuates Salmonella Virulence and Survival within Macrophages

The *phoP* constitutive allele (*PhoP^C*), *pho-24*, results in derepression of *pag* loci. Using diethyl sulfate mutagenesis of *S. typhimurium* LT-2, Ames and co-workers isolated strain TA2367 *pho-24* (all strains, materials, and methods referred to in this section are described below), which contained a *phoP* locus mutation that resulted in constitutive production of acid phosphatase in rich media, Kier et al., 1979, J. Bacteriol. 138:155, hereby incorporated by reference. This *phoP*-regulated acid phosphatase is encoded by the *phoN* gene, a *pag* locus, Kier et al., 1979, supra, Miller et al., 1989, supra. To analyze whether the *pho-24* allele increased the expression of other *pag* loci the effect of the *pho-24* allele on the expression of other *pag* loci recently identified as transcriptional (e.g., *pagA* and *pagB*) and translational (e.g., *pagC*) fusion proteins that required *phoP* and *phoQ* for expression, Miller et al., 1989, supra, was determined. *pag* gene fusion strains, isogenic except for the *pho-24* allele, were constructed and assayed for fusion protein activity. *PhoP^C* derivatives of the *pagA::Mu dJ* and *pagB::Mu dJ* strains produced 480 and 980 U, respectively, of β -galactosidase in rich medium, an increase of 9- to 10-fold over values for the fusion strains with a wild-type *phoP* locus, see Table 1.

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TABLE 1. Bacterial strains and properties

| Strain | Genotype | Enzyme activity (U) ^a | Reference or source |
|---------|---|----------------------------------|--|
| 10428 | Wild type | 180 (A) | ATCC; Miller et al., 1989, supra |
| TA2367 | pho-24 | 1,925 (A) | Kier et al., 1974, supra |
| CS003 | Δ phoP Δ purB | <10 (A) | Miller et al., 1989, supra |
| CS022 | pho-24 | 1,750 (A) | This work |
| CS023 | pho-24 phoN2 | 25 (A) | This work |
| CS012 | zxx::6251Tn10d-Cam pagA1::MU dJ | 45 (B) | Miller et al., 1989, supra |
| CS013 | pagB1::MU dJ | 120 (B) | Miller et al., 1989, supra |
| CS119 | pagC1::TnphoA phoN2 | 85 (C) | Miller et al., 1989, supra |
| SC024 | zxx::6251Tn10d-Cam pagA1::Mu dJ pho-24 | 450 (B) | This work |
| SC025 | pagB1::Mu dJ pho-24 | 980 (B) | This work |
| SC026 | pagC1::TnphoApho-24phoN2 | 385 (B) | This work |
| CS015 | zxx::6251Tn10d-Cam phoP102::Tn10d-Cam | <10 (A) | Miller et al., 1989, supra |
| TT13208 | phoP105::Tn10d | <10 (A) | --- ^b |

^a A. Acid phosphatase; B, β -galactosidase; C, alkaline phosphatase.

^b Gift of Ning Zhu and John Roth.

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The pagC::TnphoA gene fusion produced 350 U of alkaline phosphatase, an increase of three- to fourfold over that produced in strain CS119, which is isogenic except for the pho-24 mutation, Miller et al., 1989, 5 supra. These results compare with a ninefold increase in the acid phosphatase activity in strain CS022 on introduction of the pho-24 allele. Therefore, these available assays for pag gene expression document that the pho-24 mutation causes constitutive expression of pag 10 loci other than phoN.

Identifications of protein species that are repressed as well as activated in the PhoP^c mutant strain
Whole-cell proteins of strain CS022 were analyzed to estimate the number of protein species that could be 15 potentially regulated by the PhoP regulon. Remarkably, analysis by one-dimensional polyacrylamide gel electrophoresis of the proteins produced by strains with the PhoP^c phenotype indicated that some protein species were decreased in expression when many presumptive pag 20 gene products were fully induced by the pho-24 mutation. The proteins decreased in the PhoP^c strain might represent products of genes that are repressed by the PhoP regulator. Genes encoding proteins decreased by the pho-24 allele are designated prg loci, for phoP-repressed 25 genes. Comparison of wild-type, PhoP⁻, and PhoP^c mutant strain proteins shows that growth in LB medium at 37°C represents repressing conditions for pag gene products and derepressing conditions for prg gene products.

To estimate the total number of potentially PhoP-regulated gene products, the total cell proteins of wild-type and PhoP^c mutant strains grown in LB were analyzed by two-dimensional gel electrophoresis. At least 40 species underwent major fluctuation in expression in response to the pho-24 mutation.

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Virulence defects of the PhoP^c strain Remarkably, strains with the single *pho-24* mutation were markedly attenuated for virulence in mice (Table 2). The number of PhoP^c organisms (2×10^5) that killed 50% of BALB/c 5 mice challenged (LD_{50}) by the intraperitoneal (i.p.) route was near that (6×10^5) of PhoP⁻ bacteria, Miller et al., 1989, supra. The PhoP^c strains had growth comparable to wild-type organisms in rich and minimal media. The PhoP^c mutants were also tested for alterations in 10 lipopolysaccharide, which could explain the virulence defect observed. Strain CS022 had normal sensitivity to phage P22, normal group B reactivity to antibody to O antigen, and a lipopolysaccharide profile identical to that of the parent strain, as determined by 15 polyacrylamide gel electrophoresis and staining.

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Table 2
 Virulence and protective efficacy of
 PhoP⁺ and PhoP⁻ *Salmonella* strains
 No. of survivors/total after wild-
 type challenge dose of:
 initial
 survivors/
 total

| Immunizing dose | 5x10 ⁷ | 5x10 ⁵ | 5x10 ⁴ | 5x10 ³ |
|-----------------------------|-------------------|-------------------|-------------------|-------------------|
| PhoP ⁺ organisms | | | | |
| 15 | 13/13 | | | |
| 50 | 4/4 | | | |
| 1.5x10 ² | 11/11 | | | |
| 5x10 ² | 16/16 | | | |
| 1.5x10 ³ | 5/5 | | | |
| 5x10 ³ | 4/4 | | | |
| 1.5x10 ⁴ | 5/5 | | | |
| 5x10 ⁴ | 19/23 | | | |
| 1.5x10 ⁵ | 5/5 | | | |
| 5x10 ⁵ | 1/4 | | | |
| 5x10 ⁶ | 0/6 | | | |
| 3x10 ⁹ | 5/5 | | | |
| 3x10 ¹¹ (*) | 5/5 | | | |
| 1.5x10 ¹¹ (*) | 5/5 | | | |
| PhoP ⁻ organisms | | | | |
| 6x10 ³ | 36/36 | | | |
| 6x10 ⁴ | 36/36 | | | |
| 6x10 ⁵ | 19/36 | | | |
| 5x10 ¹⁰ (*) | 7/7 | | | |

(*) Organisms were administered by the oral route. In all other experiments,
 organisms were administered by i.p. challenge.

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Since the TA2367 *pho-24* strain was constructed by chemical mutagenesis and could have another linked mutation responsible for its virulence defect revertants of the *PhoP^c* were isolated to determine whether the *pho-24* allele was responsible for the attenuation of virulence observed. Phenotype *PhoP^c* revertants, identified by the normal levels of acid phosphatase in rich medium, were isolated among the bacteria recovered from the livers of mice infected with strain CS022. Six separate phenotypic revertants, designated CS122 to CS128, were found to be fully virulent (LD_{50} of less than 20 organisms for BALB/c mice). The locus responsible for the reversion phenotype was mapped in all six revertants tested for virulence by bacteriophage P22 cotransduction and had linkage characteristics consistent with the *phoP* locus (greater than 90% linkage to *purB*). These data indicate that these reversion mutations are not extragenic suppressors but are intragenic suppressors or true revertants of the *pho-24* mutation. Thus, the virulence defect of *PhoP^c* mutants is probably the result of a single revertible mutation in the *phoP* locus and not the result of a second unrelated mutation acquired during mutagenesis.

Reversion frequency of the *PhoP^c* phenotype The reversion frequency of the *PhoP^c* mutation *in vivo* in mice was investigated to assess whether reversion could reduce the LD_{50} of this strain. The presence of the revertants of strain CS022 was tested for by administering 10^6 , 10^4 , and 10^2 challenge organisms to each of eight animals by i.p. injection. On day 7, three animals died that received 10^6 *PhoP^c* organisms. On that day, the livers and spleens of all animals were harvested and homogenized in saline. After appropriate dilution, 10% of the tissue was plated on LB plates containing the chromogenic phosphatase substrate XP. Revertants were identified by

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their lighter blue colonies compared with PhoP^c bacteria and were confirmed by quantitative acid phosphatase assays. An estimated 10^7 , 10^5 , and 10^3 organisms per organ were recovered from animals at each of the three 5 respective challenge doses. Revertants were identified only at the highest dose and comprised 0.5 to 1%, or 10^5 organisms per organ, at the time of death. It is likely that revertants are able to compete more effectively for growth in these macrophage-containing organs, since 10 strain CS022 is deficient in survival within macrophages (see below). However, revertants were not identified if fewer than 10^5 organisms were administered in the challenge dose, suggesting that the reversion frequency must be approximately 10^{-5} . The reversion rate of the 15 PhoP^c phenotype for CS022 bacteria grown in LB is in fact 6×10^{-4} when scored by the same colony phenotypes. The percentage of revertants recovered from animals near death suggests that pressure is applied *in vivo* that selects for revertants of the PhoP^c phenotype and implies 20 that the virulence defect observed could be much greater quantitatively for a strain with a nonrevertible PhoP^c mutation.

The PhoP^c strain is deficient in survival within macrophages Because of the importance of survival within 25 macrophages to *Salmonella* virulence Fields et al., 1986, Proc. Natl. Acad. Sci. USA 83:5189, hereby incorporated by reference, PhoP^c bacteria were tested for this property. Strain CS022 was defective in the ability to grow and persist in macrophages as compared with wild-type organisms (Fig. 1). In Fig. 1 the survival of 30 strain CS022 (PhoP^c) (triangles) in cultured macrophages is compared with that of wild-type *S. typhimurium* ATCC 10428 (circles). The experiment shown is a representative one. The difference between the two strains at 4 and 24

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hours is significant ($P < 0.05$). PhoP^- bacteria seemed to have a macrophage survival defect qualitatively similar to that of PhoP^c bacteria but survived consistently better by two- to threefold in side-by-side experiments. The increased recovery of organisms that reverted to PhoP^c phenotype in mouse organs rich in macrophage content is consistent with the reduced macrophage survival of PhoP^c mutants in vitro.

Use of the PhoP^c strain as a live vaccine It has been previously reported that PhoP^- strains are useful as live vaccines in protecting against mouse typhoid, Miller et al., 1989, supra. The immunogenicity of PhoP^c when used as live attenuated vaccines in mice was compared with the of PhoP^- . This was done by simultaneous determination of survival, after graded challenge doses with the wild-type strain ATCC 10428, in mice previously immunized with graded doses of the two live vaccine strains. CS015 *phoP::Tn10d-Cam* and CS022 *pho-24*, as well as a saline control. The results obtained (Table 2) suggest the following conclusions: (i) small i.p. doses of the PhoP^c strain (e.g., 15 organisms) effectively protect mice from challenge doses as large as 5×10^5 bacteria (a challenge dose that represents greater than 10^4 i.p. LD_{50} s), (ii) large doses of PhoP^c organisms given orally completely protect mice from an oral challenge consisting of 5×10^7 wild-type bacteria (over 200 oral wild-type LD_{50} s) and (iii) by comparison, a large dose of PhoP^- organisms (5×10^5) does not provide similar protection. The reversion of the PhoP^c mutation in vivo somewhat complicates the analysis of the use of these strains as vaccines, since revertants of the CS022 strain (i.e., wild-type cells) could increase immunogenicity). However, we were unable to identify revertants by

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examining 10% of the available spleen and liver tissue from those mice that received 10^4 or fewer organisms.

Strains, Materials and Methods The strains, materials, and methods used in the *PhoP* regulon work 5 described above are as follows.

American Type Culture Collection (ATCC) strain 14028, a smooth virulent strain of *S. typhimurium*, was the parent strain for all virulence studies. Strain TT13208 was a gift from Nang Zhu and John Roth. Strain 10 TA2367 was a generous gift of Gigi Stortz and Bruce Ames, Kier et al., 1979, *supra*. Bacteriophage P22HT *int* was used in transductional crosses to construct strains isogenic except for *phoP* locus mutations, Davis et al., 1980, *Advanced Bacterial Genetics*, p. 78, 87. Cold 15 Spring Harbor Laboratory, Cold Spring Harbor, NY, hereby incorporated by reference. Luria broth was used as rich medium, and minimal medium was M9, Davis et al., 1980, *supra*. The chromogenic phosphatase substrate 5-bromo-4-chloro-3indolyl phosphate (XP) was used to qualitatively 20 access acid and alkaline phosphatase production in solid media.

Derivatives of *S. typhimurium* ATCC 10428 with the *pho-24* mutation were constructed by use of strain TA2367 as a donor of the *purB* gene in a P22 transductional cross 25 with strain CS003 Δ *phoP* Δ *purB*, Miller et al., 1989, *supra*. Colonies were then selected for the ability to grow on minimal medium. A transductant designated CS022 (phenotype *PhoP^c*) that synthesized 1,750 U of acid 30 phosphatase in rich medium (a ninefold increase over the wild-type level in rich medium) was used in further studies.

Derivatives of strains CS022 and CS023 *pho-24* 35 *phoN2* *zxx::6251Tn10d-Cam*, and acid phosphatase-negative derivative of CS022, containing *pag* gene fusions were constructed by bacteriophage P22 transductional crosses,

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using selection of TnphoA- or Mu dJ-encoded kanamycin resistance. Strains were checked for the intact pag gene fusion by demonstration of appropriate loss of fusion protein activity on introduction of a *phoP105::Tn10d* or 5 *phoP102::Tn10d-Cam* allele.

Assays of acid phosphatase, alkaline phosphatase, and β -galactosidase were performed as previously described, Miller et al., 1989, supra and are reported in units as defined in Miller, 1972, *Experiments in 10 molecular genetics*, p. 352-355, Cold Spring Harbor Laboratory, Cold Spring Harbor, NY, hereby incorporated by reference.

In the mouse virulence and vaccination studies bacteria grown overnight in Luria broth were washed and 15 diluted in normal saline. The wild-type parent strain of CS022 (ATCC 10428) was used for all live vaccine challenge studies. This strain has a 50% lethal dose (LD_{50}) for naive adult BALB/c mice of less than 20 organisms when administered by intraperitoneal (i.p.) 20 injection and 5×10^4 when administered orally in $NaHCO_3$. Mice were purchased from Charles River Breeding Laboratories, Inc. (Wilmington, Mass.) and were 5 to 6 weeks of age at initial challenge. All i.p. inoculations were performed as previously described, Miller et al., 25 1989, supra. Oral challenge experiments were performed with bacteria grown in LB broth and concentrated by centrifugation. The bacteria were resuspended in 0.1 M $NaHCO_3$ to neutralize stomach acid, and administered as a 0.5-ml bolus to animals under ether anesthesia. Colony 30 counts were performed to accurately access the number of organisms administered. All challenge experiments were performed 1 month after i.p. inoculation and 6 weeks after oral challenge. Challenge inocula were administered by the same route as vaccinations. The care 35 of all animals was under institutional guidelines as set

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by the animal are committees at the Massachusetts General Hospital and Harvard Medical School.

Protein electrophoresis was performed as follows. One-dimensional protein gel electrophoresis was performed by the method of Laemmli, 1970, *Nature* 227:680, hereby incorporated by reference, on whole-cell protein extracts of stationary-phase cells grown overnight in Luria broth. The gels were fixed and stained with Coomassie brilliant blue R250 in 10% acetic acid-10% methanol. Two-dimensional protein gel electrophoresis was performed by method of O'Farrell, 1975, *J. Biol. Chem.* 250:4007, hereby incorporated by reference, on the same whole-cell extracts. Isoelectric focusing using 1.5% pH 3.5 to 10 ampholines (LKB Instruments, Baltimore, Md.) was carried out for 9,600 V h (700 V for 13 h 45 min). The final tube gel pH gradient extended from pH 4.1 to pH 8.1 as measured by a surface pH electrode (BioRad Laboratories, Richmond, Calif.) and colored acetylated cytochrome pI markers (Calbiochem-Behring, La Jolla, Calif.) run in an adjacent tube. The slab gels were silver stained, Merril et al., 1984, *Methods Enzymol.* 104:441, hereby incorporated by reference.

In the macrophage survival assays experiments were performed as previously described, Miller et al., 1989, *supra*, by the method of Buchmeier et al., 1989, *Infect. Immun.* 57:1, hereby incorporated by reference, as modified from the method of Lissner et al, 1983, *J. Immunol.* 131:3006, hereby incorporated by reference. Stationary-phase cells were opsonized for 30 min in normal mouse serum before exposure to the cultured bone marrow-derived macrophages harvested from BALB/c mice. One hour after infection, gentamicin sulfate (8 µg/ml) was added to kill extracellular bacteria. All time points were done in triplicate and repeated on three separate occasions.

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PhoP^c Mutant Strains Are More Effective as Live Vaccines

PhoP^c mutant *S. typhimurium* are very effective when used as a live vaccine against mouse typhoid fever and are superior to PhoP⁻ bacteria. As few as a 15 PhoP^c 5 bacteria protect mice against 10^5 LD₅₀ (lethal doses 50%) of wild type organisms by the intraperitoneal route (Table 3). This suggests that pag gene products are important antigens for protective immunity against mouse typhoid. Preliminary results have documented that 10 antigens recognized by serum of chronic typhoid carriers recognizes some phoP-regulated gene products of *S. typhi*. If protective antigens are only expressed within the host, then dead vaccines only grown in rich media may not induce an immune response against these proteins.

15 The use of different *S. typhimurium* dead vaccine preparations containing different mutations in the phoP regulon was evaluated. As can be seen in Table 3 no dead cell preparations (even those containing mixtures of PhoP⁻ and PhoP^c bacteria) are as effective vaccines as are 20 live bacteria. This suggests that there are other properties of live vaccines that increase immunogenicity or that important non-PhoP-regulated antigens are not in these preparations. The only protection observed in any animals studied was at the lowest challenge dose for 25 those immunized with PhoP^c bacteria. This further suggests that phoP activated genes are important protective antigens.

Table 3
Salmonella with *phoP* regulon mutations used as a dead vaccine

| Strain | Vaccination phenotype | Challenge dose of wild type organisms 6×10^3 | Challenge dose of wild type organisms 6×10^5 |
|-------------|---|--|--|
| None | | (3) | (5) |
| ATCC10428 | wild type | (8) | (9) |
| CS015 | <i>phoP</i> ⁻ | (10) | (13) |
| CS022 | <i>phoP</i> ^c | 2/7 (*) | (14) |
| CS022/CS015 | <i>phoP</i> ^c / <i>phoP</i> ^c | (8) | (13) |

CS015 = *phoP102::Tn10d-Cam*

CS022 = *phoP-24*
 BALB/c mice were immunized twice, 7 days apart, with 5×10^8 formalin-killed bacteria. Three weeks after the second vaccination, mice were challenged with wild-type organisms at the two doses indicated. The numbers in parentheses indicate no survivors after challenge and mean number of days until death

(*) Ratio of survivors to number challenged.

phoP^c indicates the constitutive unregulated expression of *phoP*-activated genes and lack of expression of *phoP* repressed genes.
phoP⁻ indicates a lack of expression of *phoP*-activated genes and expression of *phoP* repressed genes.

aroA PhoP Regulon Double Mutant Strains

Recent efforts by Stocker, Levine, and colleagues have focused on the use of strains with auxotrophic mutations in aromatic amino acid and purine pathways as live vaccines, Hoseith et al., 1981, *Nature* 291:238, hereby incorporated by reference, Stocker, 1988, *Vaccine* 6:141, hereby incorporated by reference, and Levine et al., 1987, *J. Clin. Invest.* 79:888, hereby incorporated by reference. Purine mutations were found to be too attenuating for immunogenicity, likely because purines are not available to the organism within the mammalian host, Sigwart et al., 1989, *Infect. Immun.* 57:1858, hereby incorporated by reference. Because auxotrophic mutations may be complemented by homologous recombination events with wild type copies donated from environmental organisms or by acquiring the needed metabolite within the host, it would seem prudent for live vaccines to contain a second attenuating mutation in a different virulence mechanism, (i.e., not just a second mutation in the same metabolic pathway). Additionally, in mice the aroA mutants have some residual virulence. Various strains with aroA mutations combined with phoP regulon mutations were investigated for virulence attenuation and immunogenicity. Table 4 demonstrates that a PhoP⁻ or PhoP^c mutation further attenuates aroA mutant *S. typhimurium* by at least 100-fold and that, at least at high levels of vaccinating organisms, immunogenicity is retained. Strains with both a pagC⁻ and phoP^c phenotype are also further attenuated than either mutation alone. Therefore, phoP regulon mutations may increase the safety of aroA live vaccine preparations.

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Table 4A
 Additional attenuation of aroA mutants by PhoP regulon mutations
 Survivors of varying numbers of *Salmonella* mutant
 organisms (*)

| Strain | Phenotype | 10^6 | 10^7 | 10^8 | 10^9 | $10^{10} (**)$ |
|--------|--------------------------------------|--------|--------|--------|--------|----------------|
| CS004 | aroA- | 6/6 | 1/6 | 0/6 | 0/6 | 6/6 |
| SL3261 | aroA ^{del} His ⁻ | 6/6 | 1/6 | 0/6 | 0/6 | 6/6 |
| CS322 | aroA- PhoP ^c | 6/6 | 6/6 | 6/6 | 1/6 | 6/6 |
| CS323 | SL3261 PhoP ^c | 6/6 | 6/6 | 6/6 | 2/6 | 6/6 |
| CS315 | aroA- PhoP ⁻ | 6/6 | 6/6 | 6/6 | 2/6 | 6/6 |
| CS316 | SL3261 PhoP ⁻ | 6/6 | 6/6 | 6/6 | 1/6 | 6/6 |
| CS026 | pagC- PhoP ^c | 6/6 | 4/6 | 0/6 | 0/6 | 6/6 |

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Table 4B
 Protective efficacy of *Salmonella* with *aroA/phoP* regulon mutations
 survivors of challenge doses of wild type organisms (*)

| Strain | Phenotype | Inoculum | 5×10^5 | 5×10^7 |
|--------|---|----------|-----------------|-----------------|
| CS004 | <i>aroA</i> ⁻ | | | |
| SL3261 | <i>aroADEL His</i> ⁻ | 10^6 | 4/4 | 5/5 |
| CS322 | <i>aroA</i> ⁻ <i>PhoP</i> ^c | 10^6 | 4/4 | 4/5 |
| CS323 | SL3261 <i>PhoP</i> ^c | 10^6 | 5/5 | 5/5 |
| CS322 | <i>aroA</i> ⁻ <i>PhoP</i> ^c | 10^7 | 5/5 | 5/5 |
| CS323 | SL3261 <i>PhoP</i> ^c | 10^7 | 5/5 | 5/5 |
| CS322 | <i>aroA</i> ⁻ <i>PhoP</i> ^c | 10^8 | 5/5 | 5/5 |
| CS323 | SL3261 <i>PhoP</i> ^c | 10^8 | 5/5 | 5/5 |
| CS315 | <i>aroA</i> ⁻ <i>PhoP</i> ^c | 10^8 | 5/5 | 5/5 |
| CS316 | SI3261 <i>PhoP</i> ^c | 10^8 | 5/5 | 5/5 |

(*) Ratio of survivors to number of mice challenged.

(**) Indicates oral inoculation all other experiments were intraperitoneal inoculation.

CS004 = *aroA554:rn10*.
 SL3261 = *aroADEL407 hisG46*.

CS322 = *aroA554:rn10 pho-24*.

CS323 = *aroADEL407 pho-24*.

CS315 = *aroA554:rn10 phoP102:rn10d-Cam*.

CS316 = *aroADEL407 hisG46 phoP102:rn10d-Cam*.

CS026 = *pagC1:rnphoA pho-24 phoN2 xxxx:6251TN10d-Cam*.

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Salmonella typhi phoP Regulon Mutations

The *phoP* regulon is at least partially conserved in *S. typhi* DNA hybridization studies as well as P22 bacteriophage transductional crosses have documented that 5 the *phoP*, *phoQ*, and *pagC* genes appear highly conserved between *S. typhi* and *S. typhimurium* mutations in these genes in *S. typhi* have been made.

Salmonella Live Vaccines as Delivery Systems for Heterologous Antigens

10 The vector used in the vaccine delivery system is a derivative of pJM703.1 described in Miller et al., 1988, J. Bact. 170:2575, hereby incorporated by reference. This vector is an R6K derivative with a 15 deletion in the *pir* gene. R6K derivatives require the protein product of the *pir* gene to replicate. *E. coli* that contain the *pir* gene present as a lambda bacteriophage prophage can support the replication of this vector. Cells that do not contain the *pir* gene will not support the replication of the vector as a plasmid. 20 This vector also contains the *mob* region of RP4 which will allow mobilization into other gram negative bacteria by mating from *E. coli* strains such as SM10lambda *pir*, which can provide the mobilization function in trans.

25 The *pagC* region is shown in Figs. 2 and 3. Fig. 2 shows the restriction endonuclease sites of the *pagC* locus. The heavy bar indicates *pagC* coding sequence. The *TnphoA* insertion is indicated by a inverted triangle. The direction of transcription is indicated by the arrow and is left to right. The numbers indicate the location 30 of endonuclease sites, in number of base pairs, relative to the start codon of predicted *pagC* translation with positive numbers indicating location downstream of the start codon and negative numbers indicating location upstream of the start codon. A is *Acc*I, B is *Bgl*II, C is 35 *Cla*I, D is *Dra*I, E is *Eco*RI, H is *Hpa*I, N is *Nru*I, P is

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PstI, S is *SspI*, T is *StuI*, U is *PvuII*, V is *EcoRV*, and II is *BglIII*. Fig. 3 shows the DNA sequence (Sequence I.D. No. 1) and translation of *pagC::TnphoA*. The heavy underlined sequence indicates a potential ribosomal binding site. The single and double light underlines indicate sequences in which primers were constructed complementary to these nucleotides for primer extension of RNA analysis. The asterix indicates the approximate start of transcription. The arrow indicates the direction of transcription. The boxed sequences indicate a region that may function in polymerase binding and recognition. The inverted triangle is the site of the sequenced *TnphoA* insertion junction. The arrow indicates a potential site for single sequence cleavage.

15 3 kilobases of DNA containing the *pagC* gene (from the *PstI* restriction endonuclease site 1500 nucleotides 5' to the start of *pagC* translation to the *EcoRI* restriction endonuclease site 1585 nucleotides downstream of *pagC* translation termination) were inserted into the 20 pJM703.1 derivative discussed above. The *pagC* sequence from the *ClaI* restriction endonuclease site was deleted (490 nucleotides) and replaced with a synthetic oligonucleotide polylinker that creates unique restriction endonuclease sites. DNA encoding one or more 25 heterologous proteins, e.g., an antigen, can be inserted into this site. This creates a vector which allows the insertion of multiple foreign genes into the DNA surrounding *pagC*.

30 The vector can be mobilized into *Salmonella* by mating or any other delivery system, e.g., heat shock, bacteriophage transduction or electroporation. Since it can not replicate, the vector can only insert into *Salmonella* by site specific recombination with the homologous DNA on both sides of the *pagC* gene. This will

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disrupt and inactivate the native pagC locus and replace it with the disrupted pagC DNA carried on the vector.

Such recombination events can be identified by marker exchange and selective media if the foreign DNA inserted into the pagC locus confers a growth advantage. The insertion of antibiotic resistance genes for selection is less desirable as this could allow an increase in antibiotic resistance in the natural population of bacteria. Genes which confer resistance to substances other than antibiotics e.g., to heavy metals or arsenic (for mercury resistance, see Nucifora et al., 1989, *J. Bact.*, 171:4241-4247, hereby incorporated by reference), can be used to identify transformants. Alternatively, selection can be performed using a *Salmonella* recipient strain that carries an auxotrophic mutation in a metabolic pathway and a vector that carries DNA that complements the auxotrophic mutation. Many *Salmonella* live vaccine prototypes contain mutations in histidine or purine pathways thus complementation of these metabolic auxotrophies can be used to select for integrants. (Purine mutations specifically have been shown to be too attenuated for use in man.) Further proof of marker exchange can be documented by loss of the ampicillin resistance (carried on the plasmid backbone) or by blot hybridization analysis.

A gene useful for selection can be cloned by complementation of a vaccine strain with a metabolic auxotrophy. Specific examples include the cloning of the DNA encoding both *purB* and *phoP* by complementation of a strain deleted for function of both these genes. *Salmonella* gene libraries have been constructed in a pLAFR cosmid vector (Frindberg et al., 1984, *Anal. Biochem.* 137:266-267, hereby incorporated by reference) by methods known to those skilled in the art. pLAFR cosmids are broad host range plasmids which can be

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mobilized into *Salmonella* from *E. coli*. An entire bank of such strains can be mobilized into *Salmonella* vaccine strains and selected for complementation of an auxotrophic defect (e.g., in the case of *purB* growth on media without adenine). The DNA able to complement this defect is then identified and can be cloned into the antigen delivery vector.

As discussed above heterologous genes can be inserted into the polylinker that is inserted into the *pagC* sequence of the vector. The heterologous genes can be under the control of any of numerous environmentally regulated promotor systems which can be expressed in the host and shut off in the laboratory. Because the expression of foreign proteins, especially membrane proteins (as are most important antigens), is frequently toxic to the bacterium, the use of environmentally regulated promoters that would be expressed in mammalian tissues at high levels but which could be grown in the laboratory without expression of heterologous antigens would be very desirable. Additionally, high expression of antigens in host tissues may result in increased attenuation of the organism by diverting the metabolic fuel of the organism to the synthesis of heterologous proteins. If foreign antigens are specifically expressed in host phagocytic cells this may increase the immune response to these proteins as these are the cells responsible for processing antigens.

The promoter systems likely to be useful include those nutritionally regulated promoter systems for which it has been demonstrated that a specific nutrient is not available to bacteria in mammalian hosts. Purines, Sigwart et al., 1989, Infect. Immun., 57:1858 and iron, Finklestein et al., 1983, Rev. Infect. Dis. 5:S759, e.g., are not available within the host. Promoters that are iron regulated, such as the aerobactin gene promoter, as

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well as promoters for biosynthetic genes in purine pathways, are thus excellent candidates for testing as promoters that can be shut down by growth in high concentrations of these nutrients. Other useful 5 environmentally regulated *Salmonella* promoters include promoters for genes which encode proteins which are specifically expressed within macrophages, e.g., the DnaK and GroEL proteins, which are increased by growth at high temperature, as well as some *phoP* activated gene 10 products, Buchmeier et al., 1990, *Science* 248:730, hereby incorporated by reference. Therefore, promoters such as the *pagC* 5' controlling sequences and the better characterized promoters for heat shock genes, e.g., GroEL and DnaK, will be expected to be activated specifically 15 within the macrophage. The macrophage is the site of antigen processing and the expression of heat shock genes in macrophages and the wide conservation of heat shock genes in nature may explain the immunodominance of these proteins. A consensus heat shock promoter sequence is 20 known and can be used in the vectors (Cowling et al., 1985, *Proc. Natl. Acad. Sci. USA* 82:2679, hereby incorporated by reference).

The vectors can include an environmentally regulated T7 polymerase amplification system to express 25 heterologous proteins. For example, the T7 polymerase gene (cloned by Stan Tabor and Charles Richardson, See Current Protocols in Molecular Biology ed. Ausubel et al., 1989, (page 3.5.1.2) John Wiley and Sons, hereby incorporated by reference) under control of an iron 30 regulated promoter, can be included on the vectors described above. We have inserted the aerobactin gene promoter of *E. coli* with the sequence
CATTCTCATTGATAATGAGAACATTGACATAATTGTTATTACG
(Sequence ID No. 2), Delorenzo et al. *J. Bact.* 169:2624, 35 hereby incorporated by reference, in front of the T7

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polymerase gene and demonstrated iron regulation of the gene product. This version of the vector will also include one or more heterologous antigens under the control of T7 polymerase promoters. It is well known
5 that RNA can be synthesized from synthetic oligonucleotide T7 promoters and purified T7 in vitro. When the organism encounters low iron T7 polymerase will be synthesized and high expression of genes with T7 promoters will be facilitated.

10 The pagC gene and pagC Gene Product

Strains, materials, and methods The following strains, materials, and methods were used in the cloning of pagC and in the analysis of the gene and its gene product.

15 Rich media was Luria broth (LB) and minimal media was M9, Davis et al., 1980, supra. The construction of *S. typhimurium* strain CS119 pagC1::TnphoA phoN2 zxx::6251 Tn10d-Cam was previously described, Miller et al., 1989, supra. American Type Culture Collection (ATCC) *S.*
20 *typhimurium* strain 10428 included CS018 which is isogenic to CS119 except for phoP105::Tn10d, Miller et al., 1989, supra, CS022 pho-24, Miller et al., 1990, J. Bacteriol. 172:2485-2490, hereby incorporated by reference, and CS015 phoP102::Tn10d-cam, Miller et al., 1989, supra.
25 Other wild type strains used for preparation of chromosomal DNA included *S. typhimurium* LT2 (ATCC 15277), *S. typhimurium* Q1 and *S. drypool* (Dr. J. Peterson U. Texas Medical Branch, Galveston), and *Salmonella typhi* Ty2 (Dr. Caroline Hardegree, Food and Drug Administration). pLAFR cosmids were mobilized from *E. coli* to *S. typhimurium* using the *E. coli* strain MM294 containing pRK2013, Friedman et al., 1982, Gene 18:289-296, hereby incorporated by reference. Alkaline phosphatase (AP) activity was screened on solid media
30 using the chromogenic phosphatase substrate 5-bromo-4-

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chloro-3-indolyl phosphate (XP). AP assays were performed as previously described, Brickman et al., 1975, J. Mol. Biol. 96:307-316, hereby incorporated by reference, and are reported in units as defined by 5 Miller, Miller, 1972, *supra*, pp. 352-355.

One dimensional protein gel electrophoresis was performed by the method of Laemmli, 1970, *Nature*, 227:680-685, hereby incorporated by reference, and blot hybridization using antibody to AP was performed as 10 previously described, Peterson et al., 1988, *Infect. Immun.* 56:2822-2829, hereby incorporated by reference. Whole cell protein extracts were prepared, from saturated cultures grown in LB at 37°C with aeration, by boiling the cells in SDS-page sample buffer, Laemmli, 1970, 15 *supra*. Two dimensional gel electrophoresis was performed by the method of O'Farrell, 1975, *J. Biol. Chem.* 250:4007, hereby incorporated by reference. Proteins in the 10% polyacrylamide slab gels were visualized by silver staining, Merril et al., 1984, *Methods in Enzymology*, 104:441, hereby incorporated by reference. 20

Chromosomal DNA was prepared by the method of Mekalanos, 1983, *Cell*, 35:253-263, hereby incorporated by reference. DNA, size fractionated in agarose gels, was transferred to nitrocellulose (for blot hybridization) by 25 the method of Southern, 1975, *J. Mol. Biol.* 98:503-517, hereby incorporated by reference. DNA probes for Southern hybridization analysis were radiolabeled by the random primer method, Frinberg et al., 1984, *supra*. Plasmid DNA was transformed into *E. coli* and *Salmonella* 30 by calcium chloride and heat shock, Mekalanos, 1983, *supra*, or by electroporation using a GenePulser apparatus (Biorad, Richmond, Ca.) as recommended by the manufacturer, Dower et al., 1988, *Nucl. Acids Res.* 16:6127-6145, hereby incorporated by reference. DNA 35 sequencing was performed by the dideoxy chain termination

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method of Sanger et al., 1977, Proc. Natl. Acad. Sci. USA, 74:5463-5467, hereby incorporated by reference, as modified for use with Sequenase (U.S. Biochemical, Cleveland, Ohio). Oligonucleotides were synthesized on 5 an Applied Biosystems Machine and used as primers for sequencing reactions and primer extension of RNA. Specific primers unique to the two ends of TnphoA, one of which corresponds to the alkaline phosphatase coding sequence and the other to the right IS50 sequence, were 10 used to sequence the junctions of the transposon insertion.

Construction of a *S. typhimurium* cosmid gene bank in pLAFR3 and screening for clones containing the wild type pagC DNA was performed as follows. DNA from *S. typhimurium* strain ATCC 10428 was partially digested 15 using the restriction endonuclease Sau3A and then size selected on 10-40% sucrose density gradient. T4 DNA ligase was used to ligate chromosomal DNA of size 20-30 kilobases into the cosmid vector pLAFR3, a derivative of 20 pLAFR1, Friedman et al., 1982, Gene 18:289-296, hereby incorporated by reference, that was digested with the restriction endonuclease BamHI. Cosmid DNA was packaged and transfected into *E. coli* strain DH5- α using extracts purchased from Stratagene, La Jolla, Ca. Colonies were 25 screened by blot hybridization analysis.

The analysis of proteins produced from cloned DNA by in vitro transcription/translation assays was analyzed as follows. These assays were performed with cell free extracts, (Amersham, Arlington Heights, Illinois), and 30 were performed using conditions as described by the manufacturer. The resultant radiolabeled proteins were analyzed by SDS-pagE.

RNA was purified from early log and stationary phase *Salmonella* cultures by the hot phenol method, Case 35 et al., 1988, Gene 72:219-236, hereby incorporated by

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reference, and run in agarose-formaldehyde gels for blot hybridization analysis, Thomas, 1980, Proc. Natl. Acad. Sci. USA 77:5201, hereby incorporated by reference. Primer extension analysis of RNA was performed as 5 previously described, Miller et al., 1986, Nuc. Acids. Res. 14:7341-7360, hereby incorporated by reference, using AMV reverse transcriptase (Promega, Madison, Wisconsin) and synthesized oligonucleotide primers complementary to nucleotides 335-350 and 550-565 of the 10 pagC locus.

Identification of an 18 kDa protein missing in a pagC mutant of *S. typhimurium* pagC mutant strain CS119 was analyzed by two dimensional protein electrophoresis to detect protein species that might be absent as a 15 result of the TnphoA insertion. Only a single missing protein species, of approximately 18 kD and pI-8.0, was observed when strains, isogenic except for their transposon insertions, were subjected to this analysis. This 18 kDa species was also missing in similar analysis 20 of *Salmonella* strains with mutations phoP and phoQ. Though two-dimensional protein gel analysis might not detect subtle changes of protein expression in strain CS119, this suggested that a single major protein species was absent as a result of the pagC::TnphoA insertion.

25 Additional examination of the 2-dimensional gel analysis revealed a new protein species of about 45 kDa that is likely the pagC-AP fusion protein. The pagC-AP fusion protein was also analyzed by Western blot analysis using antisera to AP and found to be similar in size to 30 native AP (45 kDa) and not expressed in *PhoP-S. typhimurium*.

Cloning of the pagC::TnphoA insertion Chromosomal DNA was prepared from *S. typhimurium* strain CS119 and a rough physical map of the restriction endonuclease sites 35 in the region of the pagC::TnphoA fusion was determined

by using a DNA fragment of *TnphoA* as a probe in blot hybridization analysis. This work indicated that digestion with the restriction endonuclease *ecoRV* yielded a single DNA fragment that included the *pagC::TnphoA* insertion in addition to several kilobases of flanking DNA. Chromosomal DNA from strain CS119 was digested with *EcoRV* (blunt end) and ligated into the bacterial plasmid vector *pUC19* (New England Biolabs) that had been digested with the restriction endonuclease *SmaI* (blunt end). This DNA was electroporated into the *E. coli* strain DH5- α (BRL) and colonies were plated onto LB agar containing the antibiotics kanamycin (*TnphoA* encoded) and ampicillin (*pUC19* encoded). A single ampicillin and kanamycin resistant clone containing a plasmid designated *pSM100* was selected for further study.

A radiolabeled DNA probe from *pSM100* was constructed and used in Southern hybridization analysis of strain CS119 and its wild type parent ATCC 10428 to prove that the *pagC::TnphoA* fusion had been cloned. The probe contained sequences immediately adjacent to the transposon at the opposite end of the alkaline phosphatase gene [*HpaI* endonuclease generated DNA fragment that included 186 bases of the right *IS50* of the transposon and 1278 bases of *Salmonella* DNA (Fig. 2)]. As expected, the *pSM100* derived probe hybridized to an 11-12 kb *AccI* endonuclease digested DNA fragment from the strain containing the transposon insertion, CS119. This was approximately 7.7 kb (size of *TnphoA*) larger than the 3.9 kb *AccI* fragment present in the wild type strain that hybridizes to the probe. In addition, a derivative of plasmid *pSM100*, *pSM101* (which did not allow expression of the *pagC-PhoA* gene fusion off the *lac* promoter), was transformed into *phoP-* (strain *Cs015*) and *phoN-* (strain *CS019*) *Salmonella* strains and the cloned AP activity was found to be dependent on *phoP* for expression. Therefore

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we concluded that the cloned DNA contained the pagC::TnphoA fusion.

The presence of the pagC gene was also demonstrated in other strains of *S. typhimurium*, as well 5 as in *S. typhi*, and *S. drypool*. All *Salmonella* strains examined demonstrated similar strong hybridization to an 8.0 kb EcoRV and a 3.9 kb AccI restriction endonuclease fragment suggesting that pagC is a virulence gene common to *Salmonella* species.

10 The pagC gene probe from nucleotides -46 (with 1 as the first base of the methionine to 802 (PstI site to the BglII site) failed to cross hybridize to DNA from *Citrobacter freundii*, *Shigella flexneri*, *Shigella sonnei*, *Shigella dysenteriae*, *Escherichia coli*, *Vibrio cholerae*, 15 *Vibrio vulnificus*, *Yersinia enterocolitica*, and *Klebsiella pneumoniae*.

cloning of the wild type pagC locus DNA and its complementation of the virulence defect of a *S. typhimurium* pagC mutant The same restriction 20 endonuclease fragment described above was used to screen a cosmid gene bank of wild type strain ATCC 10428. A single clone, designated pWP061, contained 18 kilobases of *S. typhimurium* DNA and hybridized strongly to the pagC DNA probe. pWP061 was found to contain *Salmonella* DNA 25 identical to that of pSM100 when analyzed by restriction endonuclease analysis and DNA blot hybridization studies. Probes derived from pWP061 were also used in blot hybridization analysis with DNA from wild type and CS119 *S. typhimurium*. Identical hybridization patterns were 30 observed to those seen with pSM100. pWP061 was also mobilized into strain CS119, a pagC mutant strain. The resulting strain had wild type virulence for BALB/c mice (a LD₅₀ less than 20 organisms when administered by IP injection). Therefore the cloned DNA complements the 35 virulence defect of a pagC mutant strain.

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Since, a wild type cosmid containing pagC locus DNA was found to complement the virulence defect of a pagC mutant *S. typhimurium* strain, it was concluded that the pagC protein is an 188 amino acid (18 kDa) membrane 5 (see below) protein essential for survival within microphages and virulence of *S. typhimurium*.

Physical mapping of restriction endonuclease sites, DNA sequencing, and determination of the pagC gene product Restriction endonuclease analysis of plasmid 10 pSM100 and pWP061 was performed to obtain a physical map of the pagC locus, and, in the case of PSM100, to determine the direction of transcription (Fig. 2). DNA subclones were generated and the TnphoA fusion junctions were sequenced, as well as the *Salmonella* DNA extending 15 from the *Hpa*I site, 828 nucleotides 5' to the *pho*A fusion junction, to the *Eco*RI site 1032 nucleotides 3' to the TnphoA insertion (Fig. 2 and 3). The correct reading frame of the DNA sequence was deduced from that required to synthesize an active AP gene fusion. The deduced 20 amino acid sequence of this open reading frame was predicted to encode a 188 amino acid protein with a predicted pI+8.2. This data were consistent with the 2-D polyacrylamide gel analysis of strain CS119 in which an 18 kDa protein of approximate pI+8.0 was absent. No 25 other open reading frames, predicted to encode peptides larger than 30 amino acids, were found.

The deduced amino acid sequence of the 188 amino acid open reading frame contains a methionine start codon 33 amino acids from the fusion of pagC and AP (Fig. 3). 30 This 33 amino acid pagC contribution to the fusion protein was consistent with the size observed in Western blot analysis and contains a hydrophobic N-terminal region, identified by the method of Kyle et al., 1982, J. Mol. Biol. 157:105-132, hereby incorporated by reference, 35 that is a typical bacterial signal sequence, Von Heinje,

- 42 -

1985, J. Mol. Biol. 184:99-105, hereby incorporated by reference. Specifically, amino acid 2 is a positively charged lysine, followed by a hydrophobic domain and amino acid 24 is a negatively charged aspartate residue.

5 A consensus cleavage site for this leader peptide is predicted to be at an alanine residue at amino acid 23, Von Heinje, 1984, J. Mol. Biol. 173:243-251, hereby incorporated by reference. The DNA sequence also revealed a typical ribosomal binding site, Shine et al.,

10 1974, Proc. Natl. Acad. Sci. USA 71:1342-1346, hereby incorporated by reference, at 6-2 nucleotides 5' to the predicted start of translation (Fig. 3) nucleotides 717-723). This suggested that the open reading frame was, in fact, translated and further supported the assumption

15 that this was the deduced amino acid sequence of the pagC protein interrupted by the TnphoA insertion (Fig. 3).

In vitro synthesis of proteins by the cloned pagC locus To detect if other proteins were encoded by pagC and to determine the approximate size of the pagC gene product, an *in vitro* coupled transcription/translation analysis was performed. A 5.3 kilobase EcoRI fragment of pWP061 was inserted into pUC19 so that the pagC gene would not be expressed off the lac promotor. This plasmid was used in an *in vitro* coupled transcription-translation assay. A single protein of approximately 22 kilodaltons was synthesized by the cell free system. The size was compatible with this being the precursor of the pagC protein containing its leader peptide. These data further support the conclusion the single and the single pagC gene product had been identified.

Identification of the pagC encoded RNA An approximately 1100 nucleotide RNA is encoded by pagC. The pagC gene is highly expressed by cells with a phoP constitutive phenotype of pag activation, as compared to

35 wild type and phoP constitutive phenotype of pag

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activation, as compared to wild type and *phoP*- bacteria. In these blot hybridization experiments *pagC* is only detected in wild type cells grown in rich media during stationary growth. This result, coupled with previous 5 work, Miller et al., 1989, supra, Miller et al., 1990, supra, demonstrates that *pagC* is transcriptionally regulated by the *phoP* gene products and is only expressed during early logarithmic phase growth in rich media by cells with a *phoP* constitutive phenotype.

10 The size of the *pagC* transcript is approximately 500 nucleotides greater than that necessary to encode the 188 amino acid protein. Primer extension analysis of *Salmonella* RNA using oligonucleotide primers specific for *pagC* sequence was performed to determine the approximate 15 start site of transcription and to determine whether these nucleotides might be transcribed 5' or 3' to the 188 amino acid *pagC* gene product. Primer extension analysis with an oligonucleotide predicted to be complementary to nucleotides 550-565 of *pagC*, 150 nucleotides 5' to the predicted start codon, resulted in an approximately 300 nucleotide primer extension product. Therefore a primer further upstream was constructed 20 complementary to nucleotides 335-350 of *pagC* and used in a similar analysis. A primer extension product of 180 nucleotides was observed to be primer specific. This is 25 consistent with transcription starting at nucleotide 170 (Fig. 3). Upstream of the predicted transcriptional start, at nucleotides 153-160, a classic RNA polymerase binding site was observed with the sequence TATAAT at - 30 12 nucleotides as well as the sequence TAATAT at -10 nucleotides. No complete matches were observed for the consensus RNA polymerase recognition site (TTGACA) 15-21 nucleotides upstream from the -10 region. AT -39 (126- 35 131) nucleotides (TTGGAA), -38 (127-132) nucleotides (TTGTGG), and -25 (135-140) nucleotides (TTGATT) are

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sequences that have matches with the most frequently conserved nucleotides of this sequence.

Based on the above results transcription was predicted to terminate near the translational stop codon 5 of the 188 amino acid protein (nucleotide 1295, Fig. 3). Indeed, a stem loop configuration was found at nucleotides 1309-1330 that may function as a transcription terminator. This was consistent with the lack of evidence of open reading frames downstream of the 10 188 amino acid protein and the lack of synthesis of other transcription/translation using the cloned pagC DNA. This further suggests that the pagC::TnphoA insertion inactivated the synthesis of only a single protein.

Similarity of pagC to Ail and Lom A computer 15 analysis of protein similarity using the National Biomedical Research Foundation/Protein Identification Resource, George et al., 1986, Nucleic Acids Res. 14:11- 15, hereby incorporated by reference, protein sequence base was conducted to identify other proteins that had 20 similarity to pagC in an attempt to find clues to the molecular function of this protein. Remarkably, pagC was found to be similar to a bacteriophage lambda protein, Lom, that has been localized to the outer membrane in minicell analysis, Court et al., 1983, Lambda II, 25 Hendrix, R.W. et al. ed. Cold Spring Harbor Laboratory (Cold Spring Harbor NY), pp. 251-277, hereby incorporated by reference, and demonstrated to be expressed by lambda lysogens of *E. coli*, Barondess, et al., 1990, Nature 346:871-874, hereby incorporated by reference. Recently, 30 the deduced amino acid sequence of the cloned ail gene product of *Y. enterocolitica* was determined and found to also be similar to Lom, Miller et al., 1990b, J. Bacteriol. 172:1062-1069. Therefore, a protein family sequence alignment was performed using a computer 35 algorithm that establishes protein sequence families and

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consensus sequences, Smith et al., 1990, Proc. Natl. Acad. Sci. 87:118-122, hereby incorporated by reference. The formation of this family is indicated by the internal data base values of similarity between these proteins : 5 pagC and Lom (107.8), pagC and Ail (104.7), and Ail and Lom (89.8). These same proteins were searched against 314 control sequences in the data base and mean values and ranges were 39.3 (7.3-52.9) pagC, 37.4 (7.3-52.9) Ail, and 42.1 (7.0-61.9) Lom. The similarity values for 10 this protein family are all greater than 3.5 standard deviations above the highest score obtained for similarity to the 314 random sequences. No other similarities or other family members were found in the database. Regions of similarity are located not only in 15 the leader peptide transmembrane domains but throughout the protein.

pagC Mutant Strains Are Attenuated For Virulence

Salmonella typhimurium strains with a pagC mutation are most likely inactivated for the phoP- 20 regulated gene product, as these strains are attenuated for virulence by at least 1,000-fold.

Attenuation of Bacterial Virulence by Constitutive Expression of Two-component Regulatory Systems.

The virulence of a bacterium can be attenuated by 25 inducing a mutation or which results in the constitutive expression of genes under the control of a two-component regulatory system or by inducing a mutation that inactivates a gene under the control of the two-component systems. A balance between the expression of the genes 30 under the control of the two-component system, e.g., between pag and prg gene expression, and possibly between two-component system regulated genes and other genes, is necessary for full virulence. Mutations that disrupt this balance, e.g., mutations that cause the constitutive 35 expression of a gene under the control of the two-

component system, or a mutation that inactivates a gene under the control of the two-component system, e.g., the *pag* gene, reduce virulence.

Constitutive mutations in two-component regulators can be identified by the use of a strain containing a recorder gene fusion to a gene regulated by the two-component system. Such gene fusions would most typically include DNA encoding the *lacZ* gene or alkaline phosphatase fused to a gene under the control of the two-component system. Strains containing fusions that are (as compared to wild type or parental strains) highly expressed in an unregulated fashion, i.e., constitutive, can be detected by increased color on chromogenic substrates for the enzymes. To detect constitutive mutations a cloned virulence regulator could be mutagenized e.g., by passage through an *E. coli* strain defective in DNA repair or by chemical mutagenesis. The mutated DNA for the regulator would then be transferred to the strain containing the gene fusion and constitutive mutations identified by the high gene fusion expression (blue color in the case of a *lacZ* fusion grown on media containing X-gal). Constitutive mutations in a component of a two-component regulatory system could also be made by in vitro mutagenesis after other constitutive mutations have been sequenced and a specific amino acid change responsible for constitutivity identified. Putting several amino acid changes that all result in a *PhoP* constitutive phenotype would result in a decreased frequency of reversion by spontaneous base changes. A constitutive mutation could also be constructed by deletion of the portion of the amino terminus of the phospho-accepting regulator which contains the phosphoacceptor domain e.g., deletion of sequences encoding amino acids amino terminal to amino acid 119 in the *phoP* gene or deletion of analogous phospho accepting

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sequences in genes of other two-component regulatory systems. This could result in a conformational change similar to that induced by phosphorylation and result in increased DNA binding and transcriptional activation.

5 Use

The *Salmonella* cells of the invention are useful as sources of immunological protection against diseases, e.g., typhoid fever and related diseases, in an animal, e.g., a mammal, e.g., a human, in particular as the basis 10 of a live-cell vaccine capable of colonizing the inoculated animal's intestine and provoking a strong immune reaction. Appropriate dosages and conditions of administration of such a live, attenuated vaccine are as described in Holem et al., Acute Enteric Infections in 15 Children, New Prospects for Treatment and Prevention (1981) Elsevier/North-Holland biomedical Press, Ch. 26, pp. 443 et seq. (Levine et al.), hereby incorporated by reference.

Other Embodiments

20 Other embodiments, e.g., strains which in addition to a phoP related mutation or genetic alteration also contain an attenuating mutation in another gene, e.g., an aromatic amino acid synthetic gene, e.g., aroA or aroD, or in cya gene (adenylate cyclase) or crp gene (adenylate 25 cyclase receptor) are also within the claims.

What is claimed is:

- 48 -

COMPUTER SUBMISSION OF DNA AND AMINO ACID SEQUENCES

(1) GENERAL INFORMATION:

(i) APPLICANT: Miller, Samuel I.
Mekalanos, John J.

(ii) TITLE OF INVENTION: Improved Vaccines

(iii) NUMBER OF SEQUENCES: 2

(iv) CORRESPONDENCE ADDRESS:

(A) ADDRESSEE: Fish & Richardson
(B) STREET: 225 Franklin Street
(C) CITY: Boston
(D) STATE: Massachusetts
(E) COUNTRY: U.S.A.
(F) ZIP CODE: 02110-2804

(v) COMPUTER READABLE FORM:

(A) MEDIUM TYPE: 3.5" Diskette, 1.44 Mb storage
(B) COMPUTER: IBM PS/2 Model 50Z or 55SX
(C) OPERATING SYSTEM: IBM P.C. DOS (Version 3.30)
(D) SOFTWARE: WordPerfect (Version 5.0)

(vi) CURRENT APPLICATION DATA:

(A) APPLICATION NUMBER:
(B) FILING DATE:
(C) CLASSIFICATION:

(vii) PRIOR APPLICATION DATA:

(A) APPLICATION NUMBER:
(B) FILING DATE:

(viii) ATTORNEY/AGENT INFORMATION:

(A) NAME: Clark, Paul T.
(B) REGISTRATION NUMBER: 30,162
(C) REFERENCE/DOCKET NUMBER: 00786/065001

(ix) TELECOMMUNICATION INFORMATION:

(A) TELEPHONE: (617) 542-5070
(B) TELEFAX: (617) 542-8906
(C) TELEX: 200154

- 49 -

(2) INFORMATION FOR SEQUENCE IDENTIFICATION NUMBER: 1:

(i) SEQUENCE CHARACTERISTICS:

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| (A) LENGTH: | 2320 |
| (B) TYPE: | nucleic acid |
| (C) STRANDEDNESS: | single |
| (D) TOPOLOGY: | linear |

(xi) SEQUENCE DESCRIPTION: SEQUENCE ID NO: 1:

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| TTATGTTGGA ATTGTGGTGT TGATTCTATT CTTATAATAT AACAAAGAAAT GTTGTAAC TG | 180 |
| ATAGATATAT TAAAAGATTAA AATCGGAGGG GGAATAAAGC GTGCTAAGCA TCATCGTGAA | 240 |
| TATGATTACA GCGCCTGCGA TGGCATATAA CCGTATTGCG GATGGAGCGT CACGTGAGGA | 300 |
| CTGTGAAGCA CAATGCGATA TGTTCTGATT ATATGGCGAG TTTGCTTAAT GACATGTTTT | 360 |
| TAGCCGAACG GTGTCAAGTT TCCTTAATGTG GTTGTGAGAT TTTCTCTTTA AATATCAAAA | 420 |
| TGTTGCATGG GTGATTTGTT GTTCTATAGT GGCTAAAGAC TTTATGGTTT CTGTTAAATA | 480 |
| TATATGCGTG AGAAAAAATTA GCATTCAAAT CTATAAAAGT TAGATGACAT TGTAGAACCG | 540 |
| GTACCTAAA TGAGCGATAG AGTGCTTCGG TAGTAAAAT ATCTTCAGG AAGTAAACAC | 600 |
| ATCAGGAGCG ATAGCGGTGA ATTATTCGTG GTTTTGTGCA TTCCGGCATAG TGGCGATAAC | 660 |
| TGAATGCCGG ATCGGTACTG CAGGTGTTA AACACACCGT AAATAATAAG TAGTATTAAG | 720 |
| GAGTTGTT | 728 |
| ATG AAA AAT ATT ATT TTA TCC ACT TTA GTT ATT ACT ACA AGC GTT TTG | 776 |
| Met Lys Asn Ile Ile Leu Ser Thr Leu Val Ile Thr Thr Ser Val Leu | |
| 5 10 15 | |
| GTG GTA AAT GTT GCA CAG GCC GAT ACT AAC GCC TTT TCC GTG GGG TAT | 824 |
| Val Val Asn Val Ala Gln Ala Asp Thr Asn Ala Phe Ser Val Gly Tyr | |
| 20 25 30 | |
| GCA CGG TAT GCA CAA AGT AAA GTT CAG GAT TTC AAA AAT ATC CGA GGG | 872 |
| Ala Arg Tyr Ala Gln Ser Lys Val Gln Asp Phe Lys Asn Ile Arg Gly | |
| 35 40 45 | |
| GTA AAT GTG AAA TAC CGT TAT GAG GAT GAC TCT CCG GTA AGT TTT ATT | 920 |
| Val Asn Val Lys Tyr Arg Tyr Glu Asp Asp Ser Pro Val Ser Phe Ile | |
| 50 55 60 | |
| TCC TCG CTA AGT TAC TTA TAT GGA GAC AGA CAG GCT TCC GGG TCT GTT | 968 |
| Ser Ser Leu Ser Tyr Leu Tyr Gly Asp Arg Gln Ala Ser Gly Ser Val | |
| 65 70 75 80 | |

- 50 -

| | |
|--|--|
| GAG CCT GAA GGT ATT CAT TAC CAT GAC AAG TTT GAG GTG AAG TAC GGT Glu Pro Glu Gly Ile His Tyr His Asp Lys Phe Glu Val Lys Try Gly 85 90 95 | 1016 |
| TCT TTA ATG GTT GGG CCA GCC TAT CGA TTG TCT GAC AAT TTT TCG TTA Ser Leu Met Val Gly Pro Ala Tyr Arg Leu Ser Asp Asn Phe Ser Leu 100 105 110 | 1064 |
| TAC GCG CTG GCG GGT GTC GGC ACG GTA AAG GCG ACA TTT AAA GAA CAT Tyr Ala Leu Ala Gly Val Gly Thr Val Lys Ala Thr Phe Lys Glu His 115 120 125 | 1112 |
| TCC ACT CAG GAT GGC GAT TCT TTT TCT AAC AAA ATT TCC TCA AGG AAA Ser Thr Gln Asp Gly Asp Ser Phe Ser Asn Lys Ile Ser Ser Arg Lys 130 135 140 | 1160 |
| ACG GGA TTT GCC TGG GGC GCG GGT GTA CAG ATG AAT CCG CTG GAG AAT Thr Gly Phe Ala Trp Gly Ala Gly Val Gln Met Asn Pro Leu Glu Asn 145 150 155 160 | 1208 |
| ATC GTC GTC GAT GTT GGG TAT GAA GGA AGC AAC ATC TCC TCT ACA AAA Ile Val Val Asp Val Gly Tyr Glu Gly Ser Asn Ile Ser Ser Thr Lys 165 170 175 | 1256 |
| ATA AAC GGC TTC AAC GTC GGG GTT GGA TAC CGT TTC TGA AAAGC Ile Asn Gly Phe Asn Val Gly Val Gly Tyr Arg Phe 180 185 | 1300 |
| ATAAGCTATG CGGAAGGTTG GCCTTCGCA CCGCCAGTCA ATAAAACAGG GCTTCCTTAC CAGTGACACG TACCTGCCTG TCTTTCTCT CTTCGTCATA CTCTCTCGT CATACTGACCG CTGTACATAA CATCTCACTA GCATAAGCAC AGATAAAGGA TTGTGGTAAG CAATCAAGGT TGCTCAGGTA GGTGATAAGC AGGAAGGAAA ATCTGGTGTAAATAACGCCA GATCTCACAA GATTCACTCT GAAAAATTTT CCTGGAATTA ATCACAAATGT CATCAAGATT TTGTGACCCG CTTCGCTAT TGTACCTGCC GCTGAACGAC TACTGAAAAG TAGCAAGGTATGTATTTTAT CCAGGAGAGC ACCTTTTTTG CGCCTGGCAG AAGTCCCCAG CCGCCACTAG CTCAGCTGGA TAGAGCATCA ACCTCCTAAG TTGATGGTGC GAGGTTCGAG GCCTGGTGG CGGTCCAATG TGGTTATCGT ATAATGTTAT TACCTCAGTG TCAGGCTGAT GATGTGGGTT CGACTCCCAC TGACCACTTC AGTTTGAAAT AAGTATTGTC TCGCAACCCCT GTTACAGAAAT AATTGATTT ATTACGTGAC AAGATAGTCA TTTATAAAAA ATGCACAAAA ATGTTATTGT CTTTTATTAC TTGTGAGTTG TAGATTTTC TTATGCGGTG AATCCCCCTT TGCGGCGGGG CGTCCAGTCA AATAGTTAAT GTTCCTCGCG AACCATATTG ACTGTGGTAT GGTTCACCGG GAGGCACCCG | 1360 1420 1480 1540 1600 1660 1720 1780 1840 1900 1960 2020 2080 |

- 51 -

GCACCGCAAT TTTTTATAAA ATGAAATTCA CACCCTATGG TTCAGAGCGG TGTCTTTTA 2140
CATCAGGTGG GCAAGCATAA TGCAGGTTAA CTTGAAAGAT ACGATCAATA GCAGAAACCA 2200
GTGATTTCGT TTATGGCCTG GGGATTTAAC CGCGCCAGAG CGTATGCAAG ACCCTGGCGC 2260
GGTTGGCCGG TGATCGTTCA ATAGTGCAGA TATGAATGGT TACCAAGCGC CTGCGAATTG 2320

(2) INFORMATION FOR SEQUENCE IDENTIFICATION NUMBER: 2:

(i) SEQUENCE CHARACTERISTICS:

(A) LENGTH: 53
(B) TYPE: nucleic acid
(C) STRANDEDNESS: single
(D) TOPOLOGY: linear

(ii) SEQUENCE DESCRIPTION: SEQUENCE ID NO: 2:

CATTTCTCAT TGATAATGAG AATCATTATT GACATAATTG TTATTATTTT ACG 53

- 52 -

Claims

1 1. A vaccine comprising a *Salmonella* cell the
2 virulence of which is attenuated by a first mutation in
3 the *phoP* regulatory region causing constitutive
4 expression of a gene under the control of said region and
5 by a second mutation at an *aro*, *pag*, or *prg* gene.

1 2. A vaccine comprising a *Salmonella* cell the
2 virulence of which is attenuated by a mutation in a *pag*
3 or a *prg* gene and by a mutation in an *aro* gene.

1 3. A *Salmonella* cell which constitutively
2 expresses a *phoP* regulatory region regulated gene and
3 which comprises a virulence attenuating mutation in an
4 *aro*, a *prg*, or a *pag* gene.

1 4. A *Salmonella* cell which comprises a first
2 virulence attenuating mutation in a *pag* or a *prg* gene and
3 a second virulence attenuating mutation in an *aro* gene.

1 5. A live *Salmonella* cell in which there is
2 inserted into a *pag* or a *prg* gene a gene encoding a
3 heterologous protein, or a regulatory element, of said
4 heterologous protein gene.

1 6. The live *Salmonella* cell of claim 5, wherein
2 said DNA encoding a heterologous protein is under the
3 control of an environmentally regulated promoter.

1 7. A vector capable of integrating into the
2 chromosome of *Salmonella* comprising
3 a first DNA sequence encoding a heterologous
4 protein,
5 a second DNA sequence encoding a marker, and
6 a third DNA sequence encoding a product necessary
7 for virulence, said third DNA sequence being mutationally
8 inactivated.

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1 8. A vector comprising DNA which encodes the *pagC*
2 gene product.

1 9. A purified preparation of the *pagC* gene
2 product.

1 10. A method of detecting the presence of
2 *Salmonella* in a sample comprising contacting said sample
3 with *pagC* encoding DNA and detecting the hybridization of
4 said *pagC* encoding DNA to nucleic acid in said sample.

- 1 / 4 -

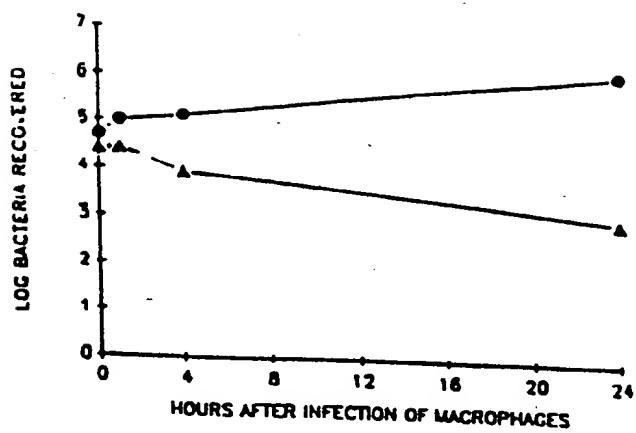


Fig 1

Fig 3

10 20 30 40 50 60 70
 GTTAACCACT CTTAATAATA ATGGGTTTTA TAGCCAAATA TACTTTTTA TCGCGTGTTC AATATTTGGC
 80 90 100 110 120 130 140
 TTACTTATTAA TTTTTTGGA ATGTAATTTC TCTCTAAACA CAGGTCAATAT TTATGTTGGA ATTGTGGTGT
 150 160 170 180 190 200 210
TCATTCTATT CTTATAATAAT AACAAGAAAT GTTCTAACTG ATAGATATAT TAAAACATTA AATCGGAGCG
 * →
 220 230 240 250 260 270 280
 GGAATAAAAGC CTGCTAAAGCA TCATCGTAA TATGATTACA GCCCCTGCCA TGGCATATAA CCGTATTGCC
 290 300 310 320 330 340 350
 GATGGAGCGT CACGTGAGGA CTGTGAAGCA CAATGCCATA TGTTCTGATT ATATGGCGAG TTTGCTTAAT
 360 370 380 390 400 410 420
 GACATGTTTT TAGCCGAACG GTGTCAAGTT TCTTAATGTG GTTGTGAGAT TTTCTCTTTA AATATCAAAA
 430 440 450 460 470 480 490
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 500 510 520 530 540 550 560
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 570 580 590 600 610 620 630
AGTGCTTCGG TACTAAAAAT ATCTTTCAGG AAGTAAACAC ATCAGGACCG ATACCCGTGA ATTATTCGTG
 640 650 660 670 680 690 700
 GTTTTGTGCA TTCCCCATAG TCCCGATAAC TCAATGCCGG ATCGGTACTG CAGGTGTAA AACACACCGT
 710 720 728
AAATAATAAG TACTTAAAG GAGTTGTT
 ATG AAA AAT ATT ATT TTA TCC ACT TTA GTT ATT ACT ACA AGC GTT TTG GTT GAA 782
 MET LYS ASN ILE ILE LEU SER THR LEU VAL ILE THR THR SER VAL LEU VAL VAL 18
 AAT GTT GCA CAG GCC GAT ACT AAC GCC TTT TCC GTG GGG TAT GCA CGG TAT GCA 836
 ASN VAL ALA GLN ALA ASP THR ASN ALA PHE SER VAL GLY TYR ALA ARG TYR ALA 36
 CAA ACT AAA GTT CAG GAT TTC AAA AAT ATG CGA GGG GAA AAT GTG AAA TAC CGT 890
 GLN SER LYS VAL GLN ASP PHE LYS ASN ILE ARG GLY VAL ASN VAL LYS TYR ARG 54
 TAT GAG CAT GAG TCT CGG GAA ACT TTT ATT TCC TCG CTA ACT TAC TTA TAT GGA 944
 TYR GLU ASP ASP SER PRO VAL SER PHE ILE SER SER LEU SER TYR LEU TYR GLY 72
 GAC AGA CAG GCT TCC GGG TCT GTT GAG CGT GAA GGT ATT CAT TAC CAT GAC AAG 998
 ASP ARG GLN ALA SER GLY SER VAL GLU PRO GLU GLY ILE HIS TYR HIS ASP LYS 90
 TTT GAG GTG AAG TAG GGT TCT TTA ATG GTT GGG CCA CCC TAT CGA TTG TCT GAC 1052
 PHE GLU VAL LYS TYR GLY SER LEU MET VAL GLY PRO ALA TYR ARG LEU SER ASP 108
 AAT TTT TCG TTA TAC GCG CTG GCG GGT GTC GGG ACG GAA AAG GGG ACA TTT AAA 1106
 ASN PHE SER LEU TYR ALA LEU ALA GLY VAL GLY THR VAL LYS ALA THR PHE LYS 126
 GAA CAT TCC ACT CAG GAT CCC GAT TCT TTT TCT AAC AAA ATT TCC TCA AGG AAA 1160
 GLU HIS SER THR GLN ASP GLY ASP SER PHE SER ASN LYS ILE SER SER ARG LYS 144
 ACC CGA TTT GCC TGG GGC GCG GGT GTC CAG ATG AAT CCC CTG GAC AAT ATC GTC 1214
 THR GLY PHE ALA TRP GLY ALA GLY VAL GLN MET ASN PRO LEU GLU ASN ILE VAL 162

GTC GAT GTT GGG T T GAA GGA ACC AAG ATC TCC TCT AC AA ATA AAC GGC TTC 1268
VAL ASP VAL GLY . . GLU GLY SER ASN ILE SER SER TH. LYS ILE ASN GLY PHE 180

Fig 3

CON T.

AAC GTC GGG GTT GGA TAC CGT TTC TGA AAAGC 1300
ASN VAL GLY VAL GLY TYR ARG PHE 188

1310 1320 1330 1340 1350 1360 1370
ATAAGCTATG CGGAAGGTTC GCCTTCCGCA CGGCCAGTCA ATAAAACAGG GCTCTTTAC CAGTGACACGG

1380 1390 1400 1410 1420 1430 1440
TACGTGCCCTG TCTTTCTCT CTTCGTCATA CTCTCTTCGT CATACTGACG CTGTACATAA CATCTCACTA

1450 1460 1470 1480 1490 1500 1510
GCATAAGCAC AGATAAAAGCA TTGTGGTAAG CAATCAAGGT TGCTCAGGTA CGTGATAAGC AGGAAGGAAA

1520 1530 1540 1550 1560 1570 1580
ATCTGGTGTAAATAACGCCA GATCTCACAA GATTCACTCT GAAAAATTIT CCTGGAATTA ATCACAATGT

1590 1600 1610 1620 1630 1640 1650
CATCAAGATT TTGTGACCGC CTTCGCATAT TGTACCTGCCG CTGAACGAC TACTGAAAAG TAGCAAGGTA

1660 1670 1680 1690 1700 1710 1720
TGTATTTTAT CGAGGAGAGC ACCTTTTTTG CGGCTGGCAG AAGTCCCCAG CCGCCACTAG CTGAGCTCGA

1730 1740 1750 1760 1770 1780 1790
TAGAGCATCA ACCTCCTAA GTGATGGTGC GAGGTTCGAG GCCTCCCTGC CGGTCCAATG TGGTTATCGT

1800 1810 1820 1830 1840 1850 1860
ATAATGTTAT TACCTGAGT GTCAAGGCTGAT GATGTGGTT CGACTCCCCAC TGACCACITC AGITTTGAAT

1870 1880 1890 1900 1910 1920 1930
AAAGTATTGTC TCGCAACCC TCTTACAGAAAT AATTCAIT ATTACGTGAC AAGATAGTCA TTTATAAAA

1940 1950 1960 1970 1980 1990 2000
ATGCACAAAAA ATGTTATTG TCTTTTATTAG TTGTGAGTTG TAGATTTITC TTATGGGTG AATCCCCCTT

2010 2020 2030 2040 2050 2060 2070
TGGGGCGGGG CGTCCAGTC AAATAGTTAAT GTTCCCTGGG AACCATATTG ACTGTGGTAT CGTTCACCGG

2080 2090 2100 2110 2120 2130 2140
GAGGGACCCG GCACCGAA TTTTTATAAA ATGAAATTCA CACCTATGG TTCAGAGCGG TCTCTTTIA

2150 2160 2170 2180 2190 2200 2210
CATCAGGTGG GCAACCAT AATGCAGGTAA CTGAAAGAT ACCATCAATA GCAGAAACCA GTGATTTCGT

2220 2230 2240 2250 2260 2270 2280
TTATGGCCTG GGGATTAA CGCGGCCAGAG CGTATGCAAG ACCCTGGGGC CGTGGCCGG TGATCGTTCA

2290 2300 2310
ATAGTGCAGAA TATGAATGG TTACCAAGCCGC TCGGAATTC (Sequence 1.0. No.1)

- 4 / 4 -

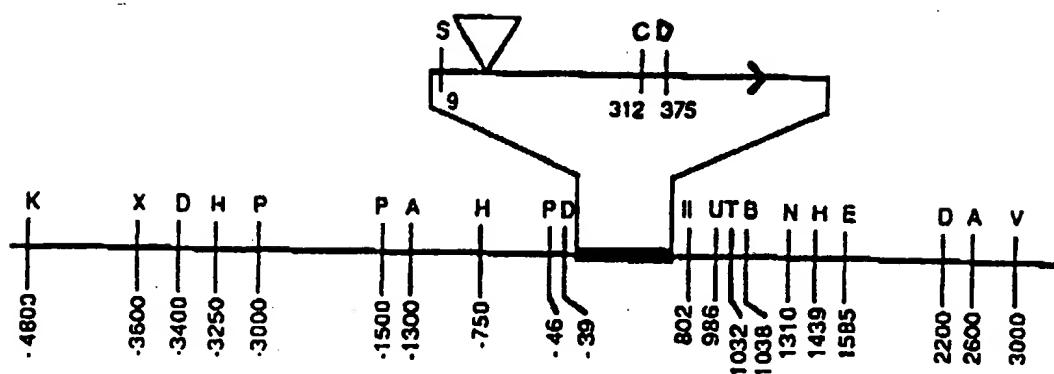


Fig 2

INTERNATIONAL SEARCH REPORT

International Application No. PCT/US91/09604

I. CLASSIFICATION OF SUBJECT MATTER (if several classification symbols apply, indicate all)³

According to International Patent Classification (IPC) or to both National Classification and IPC

IPC (5): C12N 15/00, 7/00; A61K 39/40, 39/02; C12Q 1/00
US CL : 435/172.3, 252.3, 7; 424/87, 92

II. FIELDS SEARCHED

Minimum Documentation Searched⁴

| Classification System | Classification Symbols |
|-----------------------|---------------------------------|
| U.S. | 435/172.3, 252.3, 7; 424/87, 92 |

Documentation Searched other than Minimum Documentation
to the extent that such Documents are included in the Fields Searched⁵

III. DOCUMENTS CONSIDERED TO BE RELEVANT¹⁴

| Category ⁶ | Citation of Document, ¹⁵ with indication, where appropriate, of the relevant passages ¹⁷ | Relevant to Claim No. : ⁸ |
|-----------------------|---|--------------------------------------|
| Y | Microbial Pathogenesis, Volume 6, issued 1989, Galan et al, "Virulence and Vaccine Potential of phoP mutants of <u>Salmonella typhimurium</u> ", pages 433-443, see entire article. | 1-5 |
| Y | Infection and Immunity, Volume 58, No. 11, issued November 1990, Miller et al, "Characterization of Defensin Resistance Phenotypes Associated with Mutations in the phoP Virulence Regulon of <u>Salmonella typhimurium</u> ", pages 3706-3710, see entire article. | 1-9 |
| Y | US.A. 4,837,151 (Stocker) 06 June 1989, see entire document. | 1-10 |
| Y | Proceedings of the National Academy of Sciences, Vol. 86, issued July 1989, Miller et al, "A Two-component Regulatory System (phoP phoQ) Controls <u>Salmonella typhimurium</u> Virulence", pages 5054-5058. see entire article. | 1-10 |

* Special categories of cited documents:¹⁶

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
- "S" document member of the same patent family

IV. CERTIFICATION

Date of the Actual Completion of the International Search:

17 MARCH 1992

Date of Mailing of this International Search Report:

26 MAR

International Searching Authority:

ISA/US

Signature of Authorized Officer¹⁸:

H. F. Sidberry

FURTHER INFORMATION CONTINUED FROM THE SECOND SHEET

| | | |
|---|--|------|
| Y | Infection & Immunity, Volume 56 No. 2, issued February 1988, O'Callaghan et al, "Characterization of Aromatic- and Purine-Dependent <u>Salmonella typhimurium</u> : Attenuation, Persistence, and Ability to Induce Protective Immunity in BALB/c Mice", pages 419-423, see entire document. | 1-4 |
| Y | Research Microbiology, Volume 141, issued 1990, Miller et al, "Salmonella Vaccines with Mutations in the phoP Virulence Regulon- <u>Salmonella typhimurium</u> and <u>Salmonella typhi</u> Attenuation; Recombinant Vaccine Construction," pages 817-821, see abstract no. 114930. | 1-10 |

V. OBSERVATIONS WHERE CERTAIN CLAIMS WERE FOUND UNSEARCHABLE¹

This international search report has not been established in respect of certain claims under Article 17(2) (a) for the following reasons:

1. Claim numbers , because they relate to subject matter (1) not required to be searched by this Authority, namely:
2. Claim numbers , because they relate to parts of the international application that do not comply with the prescribed requirements to such an extent that no meaningful international search can be carried out (1), specifically:
3. Claim numbers , because they are dependent claims not drafted in accordance with the second and third sentences of PCT Rule 6.4(a).

VI. OBSERVATIONS WHERE UNITY OF INVENTION IS LACKING²

This International Searching Authority found multiple inventions in this international application as follows:

1. As all required additional search fees were timely paid by the applicant, this international search report covers all searchable claims of the international application.
2. As only some of the required additional search fees were timely paid by the applicant, this international search report covers only those claims of the international application for which fees were paid, specifically claims:
3. No required additional search fees were timely paid by the applicant. Consequently, this international search report is restricted to the invention first mentioned in the claims; it is covered by claim numbers:
4. As all searchable claims could be searched without effort justifying an additional fee, the International Search Authority did not invite payment of any additional fee.

Remark on protest

- The additional search fees were accompanied by applicant's protest.
- No protest accompanied the payment of additional search fees.

| III. DOCUMENTS CONSIDERED TO BE RELEVANT (CONTINUED FROM THE SECOND SHEET) | | |
|--|---|-------------------------------------|
| Category ¹⁶ | Citation of Document, ¹⁶ with indication, where appropriate, of the relevant passages ¹⁷ | Relevant to Claim No. ¹⁸ |
| Y | Journal of Infectious Diseases, Vol. 158, No. 6, issued December 1988, Dougan et al, "Construction of Vaccine Strains...Genes", pages 1329-1335, see entire document. | 1-10 |
| Y | Journal of Bacteriology, Vol. 172, No. 5, issued May 1990, Miller et al, "Constitutive Expression on the PhoP...Macrophages", pages 2485-2490, see entire document. | 1-10 |